

PART A: Details required from all customers filling Annexure 1

Name of Legal Entity _____ RF Number _____

6. a) Identification Number (please provide any one) {Mandatory}

Select ID Type: CIN Global Entity Identification Number TIN Other _____

Provide the ID Number for above _____

b) Identification Number issuing country _____

ADDRESS AND CONTACT DETAILS (Mandatory)

7. Address for tax purpose: Same as registered Address Same as mailing Address

8. Address type for the above: Residential or business Residential Business Registered Office

PART B: To be filled as applicable

9. Details of foreign tax residency and associated TIN (please fill if answer to Q.4(a) or Q.4(b) is YES) :

Country/(ies) of tax residency	Tax Identification Number	Identification Type (TIN or Other, please specify)

In case Tax Identification Number is not available, kindly provide functional equivalent

10. Is the entity a specified U.S. Person (please fill if answer to Q.4(b) is YES) Yes No

(If No, please mention entity's exemption code : _____)

11. Entity FATCA classification and other details (Mandatory)

11(A) - (to be filled by Financial Institutions or Direct Reporting NFEs)* - please fill if answer to Q.4(b) is YES		
We are a: <input type="checkbox"/> Financial institution OR <input type="checkbox"/> Direct reporting NFE (please tick as appropriate) *If the entity is a Financial Institution and located outside India, please fill 11(B)	GIIN: _____ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below: Name of sponsoring entity: _____	GIIN not available (please tick as applicable): Following options available only for Financial Institutions: <input type="checkbox"/> Applied for <input type="checkbox"/> Not required to apply for (Please specify sub-category _____) <input type="checkbox"/> Not obtained

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form

11(B) - (to be filled by Financial Institution that is a tax resident outside India) (Mandatory)	
1	Whether the Financial Institution is located in a CRS jurisdiction? <input type="checkbox"/> Yes <input type="checkbox"/> No (Please refer to the list of signatories to CRS given in the following link - http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/) (If Yes, Q 2 and Q3 are not required. If No, please go to Qs. 2)
2	Whether FI is an 'Investment Entity'? <input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, please go to Qs. 3)
3	The entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity and the gross income of the entity is primarily attributable to investing, reinvesting, or trading in financial assets. <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please additionally fill Annexure 2)

11 (C) - (please fill ANY ONE as appropriate; to be filled by NFEs other than Direct Reporting NFEs) - please fill if answer to Q.4(d) is YES	
C1	Is the Entity an active NFE <input type="checkbox"/> Yes <input type="checkbox"/> No Please specify the sub-category of Active NFE: _____
C2	Is the Entity a passive NFE (if Yes, please fill Annexure 2) <input type="checkbox"/> Yes <input type="checkbox"/> No